



Swiss Securities Regulation: An Introduction to the Regulation of the Swiss Financial Market with a Translation of the Stock Exchange Act of 1995 (SESTA) and Implementing Ordinances

Daniel Daeniker

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The scope of Switzerland's laws and regulations applicable to banks, investment funds, and, most importantly, securities trading has changed significantly since the late 1980s, and even as recently as 1997. Businesspeople and their advisors need to understand the new legal framework created by the recent amendments. Swiss Securities Regulation clearly and concisely sets out the new regulatory framework for the Swiss financial market and provides English translations of the Stock Exchange Act, the Stock Exchange Ordinance, the Stock Exchange Ordinance of the Federal Banking Commission, and the Takeover Ordinance. The wide range of topical coverage includes + lawmaking in Switzerland, + regulation of financial institutions in Switzerland, + issuing securities in Switzerland, + scope of application of the Stock Exchange Act, + regulation of exchange in Switzerland, + regulation of securities dealers in Switzerland, + disclosure of substantial share holdings in listed Swiss companies, + tender offers for the listed Swiss financial market, and + criminal provisions in the listed Swiss market. Swiss Securities Regulation also compares the regulation of the Swiss financial market with the corresponding regulation of the European Union, the United Kingdom, and the United States. Legal and financial advisors in and outside of Switzerland will appreciate the comprehensive coverage of this informative work. The comparative approach and range of questions addressed will also appeal to those in academia.

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